

APPENDIX A PROGRESS AGAINST THE 2009/10 AUDIT PLAN AS AT 22 MAY 2009

2009/10 Audit Plan

AUDITABLE AREA	LEVEL OF ASSURANCE	RECS			BUDGETED DAYS	DAYS DELIVERED AGAINST THE PLAN	STATUS/COMMENT
		H	M	L			
2008-09 Carried Forward – 140 days							
Partnership Working					8		
Absence Management					10		
Grounds Maintenance					15		
Equalities and Diversity					10		
Performance Management					15		
Fleet Management					12	4	Work in progress
Bus Passes					4		
Energy Management					15	5	Work in progress
Environmental Cleansing					15		
Building Control					10		
Car Parking					18	4	Work in progress
Burials					8		
Key Financial Systems – 82 days							
Budgetary Control					7		
Housing Benefits					15		
Payroll					12		

AUDITABLE AREA	LEVEL OF ASSURANCE	RECS			BUDGETED DAYS	DAYS DELIVERED AGAINST THE PLAN	STATUS/COMMENT
		H	M	L			
Creditors					8		
Key Financial Systems Follow Up and Walkthrough Testing					40		
Operational Audits – 74 days							
National Indicators					20	2	Risk Assessment completed
Licensing					10		
Partnerships					20		
Elections					12		
Medium Term Financial Strategy					12		
Risk Management and Governance – 25 days							
Risk Management					10		
Corporate Governance Framework					10		
Annual Governance Statement					5		
IT Audits – 20 days							
Network Infrastructure					8		
Capita Application Review					8		
IT Management					4		
Advice, Consultancy and non Assurance work – 46 days							
Ethics and Probity					6		
Ad hoc advice					10	2	
Regulation of Investigatory Powers Act 2000 Compliance					15		
Experian Checks					15	1	

AUDITABLE AREA	LEVEL OF ASSURANCE	RECS			BUDGETED DAYS	DAYS DELIVERED AGAINST THE PLAN	STATUS/COMMENT
		H	M	L			
Procurement – 45 days							
Contract Payments					45	5	
Counter Fraud – 30 days							
National Fraud Initiative					20	8	
Counter Fraud Strategy					5		
Whistle blowing Arrangements					5		
Follow Up of Previous Audit Report Recommendations – 66 days							
Follow Ups					61	1	To be allocated
High Priority Recommendations Quarterly Follow Up					5	1.5	Quarter 1 complete
Management – 36 days							
Audit Committee					4	1	
External Audit Liaison					4	1	
Annual Report and Head of Internal Audit Opinion 2008-09					8	8	Completed
Use of Resources					2	1	
Internal Procedures Development					10	2.5	
2010/11 Audit Plan					8		
Contingency (35 days)					35		To be allocated
TOTAL					599	47	